Title: Bat vocal sequences enhance contextual information independently of syllable order

Y. Amit, Y. Yovel

PII: S2589-0042(23)00543-6

DOI: https://doi.org/10.1016/j.isci.2023.106466

Reference: ISCI 106466

To appear in: ISCIENCE

Received Date: 1 August 2022

Revised Date: 5 November 2022

Accepted Date: 17 March 2023

Please cite this article as: Amit, Y., Yovel, Y., Title: Bat vocal sequences enhance contextual information independently of syllable order, *ISCIENCE* (2023), doi: https://doi.org/10.1016/j.isci.2023.106466.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2023





1	Title: Bat vocal sequences enhance contextual information independently of syllable order		
2			
3	Authors		
4	Y. Amit ¹ & Y. Yovel ^{1,2,3}		
5	Affiliations		
6 7	¹ School of Zoology, Faculty of Life sciences, Tel Aviv University, Tel Aviv, Israel. ² Sagol School of Neuroscience, Tel Aviv University, Tel Aviv, Israel.		
8 9	³ National Research Center for Biodiversity Studies, The Steinhardt Museum of Natural History, Tel-Aviv University, Tel Aviv, Israel		
10 11	Author List Footnotes		
12	Lead contact: Yossi Yovel, e-mail: <u>yossiyovel@gmail.com</u>		
13			
14			
15	Summary:		
16 17 18	Many animals, humans included, rely on acoustic vocalizations for communication. The complexity of non- human vocal communication has been under debate one of the main open questions being: What could be the function of multi-syllabic vocal sequences? We address this questions by analyzing fruit-bat vocal communication. We use neural networks to encode the vecalizations, and statistical models to even include		
19 20 21	the information conveyed by sequences of vocalizations. We show that fruit bat vocal sequences		
22	syllables within the sequence is unimportant for context. Specifically, sequences are composed of slightly		
23 24	modified syllables, thus increasing the probability of context-specificity. We note that future behavioral,		
24 25	results. We hypothesize that such sequences might have served as pre-syntax precursors in the evolution		
26	of animal communication.		

27

28 Introduction:

29 Animals often emit sequences of social vocalizations. The function of such vocal sequences and how they

30 evolved from single vocalizations is currently unknown. Many previous studies have suggested that vocal

31 sequences are not random; that is, they are not composed of a random set of syllables from the animal's

32 repertoire. The regularities defining non-random sequences are often referred to as the 'syntax' of the

animal communication system^{1–3}. In its widest definition, as adopted in this paper, animal communication

34 syntax refers to any system of rules that orders a sequence of signals in a non-random manner^{1–4}. More

35 complex communication systems include syntax that affects the meaning of the vocalizations; that is,

36 communication systems in which syntax and semantics interact⁵. Syntax is thus commonly graded

according to its complexity. At the highest level is compositional syntax, which has only been shown for a

handful of species^{5–8}, which combines meaningful units together into sequences that generate novel meaning.

Sequences and their regularities have been studied in birds^{3,4,9-11} and in many mammals including 40 primates^{7,8,12,13}, cetaceans¹⁴, hyraxes¹⁵, mongoose¹⁶ and bats¹⁷⁻¹⁹. Many bats rely on vocalizations for 41 intra-species social communication (e.g., ^{20–22}) often emitting sequences of vocalizations. Several previous 42 43 studies suggested that bat vocal sequences are not random. One such study showed that Mexican free-44 tailed bats emit sequences with different elements when they are directed at a passing bat vs. when they 45 are uttered spontaneously²³. Another study focusing on the neural processing of vocal sequences in the 46 bat auditory cortex, revealed that neurons respond when the animal is exposed to certain sequences of vocalizations but not to others¹⁷. A third study examined the ontogeny of the production of bat vocal 47 48 sequences, and found a human-like babbling phase in which sequences or vocalizations are uttered by newborn pups²⁴. However, none of these studies examined the potential information that might be 49 50 conveyed by sequences of bat vocalizations, which was the goal of the present study.

51 Focusing on the Egyptian fruit bat, we set out to determine the role of the sequence in bat vocal 52 communication and to obtain new insight into its evolution. Egyptian fruit bats roost in large colonies, 53 that can be inhabited by thousands of individuals, which frequently emit sequences of vocalizations as 54 part of their social interactions. Such sequences are composed of series of up to ~20 vocalizations 55 (henceforth syllables) with (100-200 ms) intervals of silence between them (Figure 1A-B). Sequences are 56 separated from each other by much longer (at least one second but often many minute) intervals. The 57 great majority of vocalizations in this species are uttered during agonistic interactions in the colony, where 58 each sequence accompanies a single agonistic interaction, and yet, manifest different types of information 59 (Videos S1-S2-S3 which each demonstrate a single interaction in the contexts: feeding, mating and space 60 respectively). In a previous study carried by our lab, Prat et al. showed that fruit-bat vocalizations contain 61 information about the identity of the individual emitter, about the context in which they were uttered, and to some extent also about the outcome of the interaction ²⁵. Specifically, it was shown that 62 vocalizations uttered during agonistic interactions over food, space or mating can be distinguished. 63 64 However, in that study, the acoustics of the vocalizations were analyzed in short time windows only, and 65 thus, the importance of the sequence for conveying information and their statistical regularities were 66 never examined. Because in the previous study, we have already demonstrated that short vocal segments 67 contain considerable information about the identity of the emitter, here, we focus on the contextual 68 information conveyed by the sequences.

69 Detecting repeating elements (i.e., categorization of vocalizations) of an animal's communication system is usually a prerequisite for studying syntax^{26–28}. One of the most common methods to achieve this is to 70 71 manually scrutinize the recorded vocalizations and to group syllables based on their visual similarities. 72 This method has been used in numerous studies on song-birds and other species, as well as in most of the 73 previous bat studies^{23,29}. Unlike song-bird vocalizations, fruit-bat (and many other mammalian) vocalizations are non-tonal and have relatively low fundamental frequencies²⁵. They are thus 74 75 characterized by numerous noisy harmonics. This makes them especially challenging for categorization, 76 and thus ill-suited for visual identification of repeatable syllables (see examples in Figure 1A). Here, we 77 used a combination of deep-learning algorithms and Hidden-Markov-Models (HMMs) in order to embed

78 fruit-bat vocalizations in a lower dimensional feature space, and to examine the order of vocal sequences

and their role in conveying information. We show that while grouping syllables into sequences improves

- 80 context classification, the order of the syllables within the sequence, does not affect context classification.
- 81 We suggest that such sequences of vocalizations might have appeared early on during the evolution of
- 82 animal vocal communication. We note that our analysis is only statistical at this stage, and requires
- 83 behavioral experiments for validation.
- 84

85 **Results:** We adopted a non-supervised deep-learning algorithm to encode the syllables into a lower 86 dimensional feature space. Specifically, we used a Conditional Variational Autoencoder (CVAE) to encode 87 the syllables into a 512-dimensions vector. This values of this vector can be thought of as the equivalent 88 of routinely used acoustic features (e.g., spectral peak). However, when using a neural network (such as 89 a CVAE), the features usually represent complex spatio-temporal features. Notably, the CVAE was trained 90 with spectrograms of single syllables while taking the emitter's identity into account (as the condition). This procedure is common in human speech analysis^{30,31} and is crucial for representing inter-individual 91 92 variability, which is often the main source of variability in such data-sets. We analyzed recordings of three 93 female adult fruit-bats recorded continuously for 10 weeks generating a total of 28,847 syllables. This

94 large data-set allowed us to capture much of the variance in the fruit-bat acoustic system.

95 The feature space produced by the CVAE can be thought of as a multi-dimension description of the 96 acoustics of the fruit-bat communication system. To scrutinize this feature space, we ran a PCA analysis 97 on the 512-dimensions and projected the encoded vectors onto the first 40 Principal Components 98 (accounting for 42% of the variance). We then chose arbitrary vocal-syllables and manipulated them by 99 moving along each of these 40 PCs in order to examine the effect of each PC-direction on the syllable (in 100 Figure 1C, we present the effect of the five top PCs to exemplify their action). This analysis revealed that 101 each PC encompasses multiple spectral and temporal acoustic features and cannot be explained by a 102 single acoustic parameter. Furthermore, in order to determine acoustic information encoded by our 103 embedding method, we manipulated random syllables by changing the weight of each PC in steps, and 104 measured the effect of this manipulation on seven temporal and spectral acoustic features (see Methods). 105 We found that many of the PCs were correlated with one or more of these seven acoustic features,

106 demonstrating that the PCs encapsulate acoustic variance (figure 1D-E).

107 The advantage of the CVAE representation in comparison to using specific acoustic features is that it 108 allows capturing multi-feature acoustic variability. The two most correlated acoustic features were the 109 temporal roll-off, which is related to the duration of the syllable and the spectral contrast, which is related 110 to the uniformity of the spectrum (the mean Pearson P-value over all 40 PCs was <0.001 for both of these 111 acoustic features). Indeed, scrutinizing the effect of the first PC on a randomly-chosen syllable (Figure 1C) 112 reveals how this PC changes both the duration and the spectral contrast of the syllable (compare the blue 113 and red lines above and on the side of the spectrograms, representing the duration and spectral 114 uniformity respectively).

In all of the following analyses, we thus used the 40-dimensional vectors (PC-weights) generated by this method to represent each syllable. Below, we also present all the analyses for a representation of the vocalizations that is based on a set of specific acoustic features (instead of the CVAE). Next, we sought to determine whether sequences of vocalizations convey more contextual information than single syllables. We used annotated sequences of vocalization that were uttered by the bats in one of the three contexts 120 (most commonly observed in our colony): fighting over food – when an individual attempts to scrounge 121 from another individual; over space – when a bat enters the individual space of another bat; or before 122 mating, when a female responds aggressively to mating attempts. We will refer to these three contexts 123 as feeding, space and mating respectively. We trained a Multi-Variate-Gaussian-HMM model with three 124 hidden states representing the three contexts noted above (note that this HMM was trained using a 125 supervised approach, see Methods). We trained the HMM model with 326 sequences comprising a total 126 of 2953 syllables. We divided each sequence into all possible n-grams (yielding a total of 12,900 n-grams). 127 We then tested the HMM's context classification on sequences with increasing length (between 1-7 128 syllable n-grams). The HMM model was able to identify the context in which the vocalizations were 129 uttered far above chance level (Figure 2A, the Balanced Accuracy - BA - for sequences of seven syllables 130 was 66±9% vs. 33% by chance, specifically 63±17, 68±16 69±19% for the feeding, space and mating 131 contexts). These results show mean±SD for an 8-fold cross validation procedure in which 87.5% of 132 sequences are used for training and the rest for testing each time. Notably, context classification improves 133 when the sequences contain more syllables (overall and at least in two contexts - feeding and space). That is, the longer the sequence, the more information it conveys about the context (P=1.2*10⁻¹⁰, GLM with 134 135 the accuracy set as the explained variable, the number of syllables and the context set as fixed factors, 136 and the cross validation iteration as a random effect, see Supplementary Results 1). The differences 137 between contexts were also significant, with feeding interactions recognized significantly less than the 138 other two. We controlled for the effect of dividing the sequences into n-grams by training an HMM 139 without this division (i.e., on the original sequences only). When doing so using an 8-fold cross validation 140 we obtained a similar performance, 61±10, 63±19 83±14% for the feeding, space and mating contexts and 141 an overall BA of 66±10%. We also tested the overall performance for each individual separately (after 142 training the HMM model on all data together), which revealed a similar average performance for the three 143 individuals – 55, 70 and 71% (in comparison to a chance level of 33%).

We then performed another control, in which we switched syllables between all sequences (across contexts) keeping their position in the sequence (e.g., we permuted all of the position 2 syllables between the sequences but always kept them in position 2, without changing any other parts of the training-testing procedure). In this case, longer sequences did not provide more contextual information validating the hypothesis that a random assembly of syllables would not convey contextual information (Figure 2B, average accuracy was at chance level, P=0.63, GLM with the same variables as above).

We next examined whether the order of the syllables within a sequence contributes to context classification. To this end, we permuted the internal order of syllables within sequences and we then trained the same supervised context HMM classifier (as above) with an 8-fold cross-validation. This internal permutation did not affect the context classification performance of the HMM, suggesting that syllable-order does not contribute to conveying contextual information. Context classification results in this case were identical to those of the original data with an accuracy of 63±17, 68±16 and 69±19 for the feeding, space and mating contexts and an overall BA of 66±10 (Figure 2C).

To determine whether the model we trained can represent a form of compositional syntax, in which syllables with certain meanings (i.e., context) are combined into sequences to generate new meanings, we tested the (above-noted) HMM model on each of the syllables within the sequences separately (i.e., on 1-grams) and compared their classified context to the context of the entire sequence. We found that the classified syllable context was the same as the context of the entire sequence negating compositional syntax. Specifically, more than 80% of the individual syllables were classified as belonging to the same 163 context as the entire sequence. Thus, we conclude that, from a statistical point of view, individual syllables

164 convey the same contextual information as the sequence, but because they are not identical acoustically,165 the sequence conveys more contextual information than a single syllable alone (see additional discussion)

166 below).

167 To determine whether the 'simple' acoustic features that we extracted can also provide contextual 168 information, we ran the same context-HMM model on these features (instead of the VAE embedding), 169 either using each feature separately or using all seven features together. This analysis revealed that even 170 a low dimensional acoustic representation of the syllables already provides contextual information, and 171 that using all seven features together provides similar contextual information to that when using the VAE 172 embedding (the overall balanced accuracy was 64±10% vs. 66±6% for the seven acoustic vs. the CVAE 173 features, Figure 2D). Note that space vocalizations did not classify well when using acoustic features 174 (<50%) suggesting that the CVAE represents the different contexts better on average. Note also, that 175 sequences conveyed more contextual information than individual syllables also when using an acoustic feature-based representation ($P < 6*10^{-6}$, GLM as above, see Supplementary Results 2). 176

- 177
- 178

179 Discussion:

We found that vocal sequences uttered by fruit-bats convey more contextual information than single 180 181 vocalizations. This suggests that the syllables used in each context arise from a different (multi-modal) 182 acoustic distribution. Notably, there is much overlap between the distributions of the features of syllables 183 of different contexts (whether we used the CVAE or the simple acoustic features). Indeed, when plotting 184 any of the features that we tested, they were always part of a continuous distribution rather than 185 distributed in clusters. Fruit-bat vocalizations thus do not seem to form separate 'words' (although it is 186 also possible that we are not describing them in the relevant feature space of the bat). We thus suggest 187 that longer sequences convey more contextual information because uttering more vocalizations increases 188 the chances of producing a distinct context-specific syllable (i.e., from the non-overlapping margins of the 189 distribution of the two contexts, see schematic in Figure 2E). Note that, when using an HMM-like model 190 to classify context, concatenating multiple identical syllables would not convey more information about 191 context. Because we found that the order of syllables within a sequence can be randomized without 192 affecting context classification, we do not refer to fruit-bat sequences as characterized by syntax. While 193 our results also refute the hypothesis that fruit-bat sequences could be considered a form of 194 compositional syntax, we do not suggest that bats or even fruit-bats cannot use compositional syntax, as 195 might be revealed by future studies applying different feature space or different statistics⁷. We thus 196 describe a system in which animals combine elements (i.e., syllables) that are already informative on their 197 own to form sequences that convey the same context as the individual syllables, but that combining them 198 improves the transmission of information (more than repeating them). We note that it is likely that 199 sequences also provide other information, which we did not test here, such as, regarding the arousal level 200 or motivation of the emitting animal.

201 In the next paragraph we offer a speculative hypothesis regarding for the evolution of such sequences.

202 We hypothesize that this form of vocal sequences might be common in animals and might be a precursor

in the evolution of syntax in animal communication (Figure 3). Let us imagine the ancestral fruit-bat colony

204 in which the most common social interaction includes fighting over position in the cluster, and the vocal 205 repertoire comprises of only a single syllable, which we will refer to as 'Move'. One could imagine that at 206 higher arousal levels, an excited bat would repeat this syllable several times, uttering a sequence such as: 207 Move-Move. Such repeated signaling due to urgency is familiar to any pet holder and has also been documented in non-vocal communication, for instance, in orangutans³². In the next phase, the n-208 209 repetition of the syllable might slightly change depending on the context of the interaction. For instance, 210 when fighting over food the sequence might become Move-Mov-Mov and later perhaps Meve-Mov-Mev. 211 This could be a result of the arousal level in this specific context (e.g., fighting while mating is more 212 vigorous than fighting over place) or it could be a result of a physiological constraint, e.g., holding fruit in 213 the mouth or calling while flying necessitates shortening the syllables. Over time, a sequence structure 214 similar to the one we describe above might evolve in which a single syllable conveys contextual 215 information, while a sequence of syllables conveys more information about the same context, because of 216 the higher chance that one such syllable will be context-distinct. Eventually a communication system will 217 evolve in which the syllables in the sequence slightly differ from one another and the syllables in 218 sequences of different contexts derive from different but overlapping distributions. This is somewhat 219 reminiscent of a process termed 'affixation' shown in primates, in which alarm syllables are modified (e.g., 220 elongated) based on motivation and context, leading to a change in their meaning¹³. Notably, several 221 species of bats including Egyptian fruit bats have been shown to be vocal learners, i.e., they can modify 222 their vocalizations based on exposure to sounds produced by others. Although vocal learning has mostly 223 been studied in the context of individual syllables, it could also assist the establishment of certain 224 sequences as well as the introduction of new variability into sequences.

Note that our case differs from what is sometimes referred to as 'graded syntax' where the combination of syllables signals the degree of agitation in a specific context⁶, because in our case, sequences convey different contexts (and not a single one). A system such as we describe here might be a precursor for evolving ordered sequences - or syntax – in which syllables within a sequence are not ordered randomly, as seems to be the case in fruit-bats. However, much more comparative research is needed in order to support these ideas.

An alternative hypothesis regarding the evolution of sequences with syntax is the lexical constraint 231 hypothesis^{8,33}, suggesting that when a species continuously increases the number of different syllables it 232 233 utters, it will reach a point where further additions become uneconomical compared to combining already 234 existing syllables, either due to production limits or memory limits. We find this hypothesis appealing from 235 a theoretical point of view, but also suggest that it ignores the fact that animal communication systems 236 probably evolve from a single or a few syllables³⁴, which are thus likely to become first concatenated into 237 sequences (of identical syllables), and only later modified to convey information. Many simple extant 238 animal communication systems, such as dog barking, are mostly based on a single syllable that is modified 239 occasionally based on arousal and other conditions. It is course also possible that different species have 240 taken different evolutionary routes.

Encoding the acoustic properties of fruit-bat vocalizations using a neural-network auto-encoder to represent the syllables has revealed new insight into the complexity of fruit-bat communication. Acoustically, we show that both formant-like features and phoneme-like features exist in fruit-bat vocalizations. This is revealed for instance in PC 3, which seems to both add and remove low frequency formant-like structures (see red ellipses in Figure 1C) and also to add and remove temporal phoneme-like features (see orange ellipses in Figure 1C). 247 Both syntax and semantics were traditionally thought to be unique to human language, but have since been shown to exist to some degree in other animal species⁵. It has been suggested that compositional 248 249 syntax evolved when callers and receivers share an interest in exchanging information⁶. We accept this 250 hypothesis, and suggest how the use of sequences could have evolved even in a social structure in which individuals typically do not operate as a group^{35,36}, but only roost together in aggregations. We have 251 252 uncovered a simple form of sequences that conveys contextual information in fruit-bats, despite the lack 253 of clearly distinguishable syllables and of order within the sequence. Our statistical analysis should be 254 followed by behavioral experiments in order to validate our findings. This study, however, has touched 255 upon one of the fundamental questions in animal communication, namely, what is the basic unit of 256 information while demonstrating a system in which a sequence of multiple units exemplifies the 257 information that is already conveyed by a single syllable. Such sequences might have served as precursors 258 for sequences with more developed regularities.

259

260 Limitations of the study:

One major limitation of this study is that the features extracted by the VAE neural network that we used to encode bat vocalizations might not be the optimal ones. The bat's brain has probably evolved over a long time period to extract information from social vocalizations. Similar to our VAE, the brain is a nonlinear machine, but the encoding that it uses might be completely different from ours and probably extracts much more information. A second, and related limitation of this study is the lack of behavioral evidence to support our statistical findings. Behavioral validation is essential in order to prove that our findings are relevant for the animals.

268

269

270

271

272 Figure 1. Acoustic representation of bat vocalizations using neural networks. (A) Four representative 273 sequences of fruit bat vocalizations uttered in two contexts. See typical interactions in Videos S1-S2-S3. 274 (B) The distribution of the number of syllables in fruit-bat vocal sequences. (C) The effect of the first top 275 five PCs on a random syllable is presented (PC weight increases from left to right). The blue and red lines 276 above the first row of spectrograms depict the temporal and spectral envelopes (computed by projecting 277 the spectrogram on the X or Y axes, respectively). These two envelopes are proxies of the temporal roll-278 off and the spectral contrast respectively, and it can be seen how moving along PC1 (from left to right) 279 elongates the syllable and flattens the spectrum, thus reducing spectral contrast. The orange and red 280 ellipses in the fourth row demonstrate the addition / removal of a temporal phoneme-like feature and a low frequency formant-like spectral feature, respectively. (D) The correlation of the first five PCs with 281 282 seven acoustic features (X-axis, see Methods) revealed that the temporal roll-off and the spectral contrast 283 were most correlated - see examples in panel (E), where we varied the PC weight and examined the effect 284 on these two acoustic features.

285

286 Figure 2. Sequences of information. (A-D) HMM classification (on the test set only) as a function of the 287 number of syllables (X axis) for three contexts (color-coded -see legend). Black line shows the balanced 288 accuracy for all three. (A) Original Data. (C) Permuted sequences where syllables are randomly moved 289 between sequences but their position within the sequence remains the same. Note that the 1-grams were 290 not permuted and thus provide the same information as in 'A'. (C) Permuted sequences where the order 291 of the syllables within the sequences was randomly shuffled. Results are identical to in 'A'. (D) Sequences 292 represented by seven acoustic features (instead of VAE's). (E) A schematic suggesting why sequences 293 contribute to context conveyance. The red and blue shaded areas represent hypothetical distributions of 294 several (hypothetical) features for two different behavioral contexts. The numbers represent the order of 295 syllables taken from the two sequences shown above the distributions. Despite much overlap between 296 the distributions, some syllables within the sequence (e.g., 3 blue and 5 red) will fall near the margins of 297 the distribution making classification easier. The schematic depicts one feature, but the feature space is 298 actually multi-dimensional.

299

Figure 3. A conceptual framework for the evolution of animal vocal sequences. We hypothesize that
 single vocalizations ('Move') first evolved into sequences of identical vocalizations, and then modified
 into sequences of slightly different context-specific syllables.

303

304 Supplementary video titles:

Supplementary videos 1: an example of a feeding interaction including the accompanying vocalizations,related to Figure 1A.

Supplementary videos 2: an example of a mating interaction including the accompanying vocalizations,related to Figure 1A.

- 309 Supplementary videos 3: an example of an interaction regarding space including the accompanying
- 310 vocalizations, related to Figure 1A.

311

312 Methods

313 Data: The data include recordings of 3,601 communication sequences (accounting for a total of 28,847 syllables) recorded from 3 female adult bats in a previous study³⁷. All raw annotated recordings (wav files) 314 can be found here³⁸. The original recording were performied in insulated anechoic chambers in small 315 groups of <10 bats in order to assure high quality recordings with little background noise. The pre-316 317 processing of the recordings included selecting sequences where the emitter and context are clear and 318 without loud background noise (see 37). We used the segmentation into syllables provided in the original 319 paper. Each syllable was then transformed into an amplitude spectrogram using the STFT function (with 320 a window length of 0.007 sec). Spectrograms were trimmed or zero-padded if necessary to create 256x640 321 images (representing 0.5 second segments with a frequency resolution of ~140 Hz). These were used as 322 the input for a Conditional Variational Autoencoder neural-network (CVAE, see next paragraph). All 323 analyses were performed with Python. Neural network analyses were done using Python Keras³⁹ and 324 HMMs were fit using the Pomegranate and HMMlearn Python packages.

325 Encoding: The CVAE neural network was composed of seven convolutional layers (in the encoder) and 326 another eight in the decoder (see STAR table for a link to the full code). We only used high Signal-to-327 Noise-Ratio syllables to train the CVAE. To this end, we added a 0.05V threshold relative to the noise in 328 order to remove weak syllables. This additional processing removed 57% of the syllables. This procedure 329 was only relevant for the training of the CVAE, while (unless stated otherwise) all analyses were performed 330 on all syllables. The CVAE beta parameter was gradually increased following the KL-annealing procedure 331 from 0.1 to 1 (see https://arxiv.org/abs/1903.10145). A CVAE network learns a probabilistic mapping 332 between a syllable represented by a (256*640) spectrogram and a latent 512 feature space vector 333 (referred to as the embedding) while accounting for the emitter of each vocalization (the Condition). We

used 80% of the spectrograms for training and 20% of them for testing the network.

PCA: We used a PCA analysis in order to reduce the 512 feature space to a 40 dimensional space that accounted for 42% of the variance. In order to explain the variance encapsulated by our PC's, we chose random real syllables and moved along each of the first five leading-PC directions to illustrate their effect. We used the CVAE autoencoder to decode the equivalent 512 embedding-vectors back to spectrograms. Specifically, the autoencoder enables converting encoding vectors to syllables and vice versa. Thus, given a 40-dimension vector, we can convert it to a 512-dimension encoding using the PCs and then convert it into a cullable using the autoencoder

into a syllable using the autoencoder.

Comparison with acoustic features: In order to estimate the effect of these leading PCs on the acoustics of the vocalizations, we estimated the correlation between changing the PC and the seven following acoustic features (each of them estimated for the entire manipulated syllable). Unlike the vocalization systems of some animals (e.g., song-birds, mice and some insectivorous bats), fruit-bat vocalizations are what we usually term 'noisy' and thus their fundamental frequency (or pitch) is not easy to estimate. For the same reason, it is difficult to talk about frequency modulation.

- Spectral contrast⁴⁰ the difference between the mean energy in the top quantile (peak energy)
 of the spectrum to that of the bottom quantile (valley energy).
- 350 2) Temporal centroid⁴¹ defined as Eq. 1:

$$ext{Centroid} = rac{\sum_{n=0}^{N-1} \operatorname{t}(n) x(n)}{\sum_{n=0}^{N-1} x(n)}$$

351 where x(n) represents the magnitude of bin n, and t(n) represents the time of that bin

353 354

- 3) Spectral centroid⁴¹ defined as Eq. 2:
- 355 356

$$ext{Centroid} = rac{\sum_{n=0}^{N-1} f(n) x(n)}{\sum_{n=0}^{N-1} x(n)}$$

357 358

359

360

361

362

where *x(n)* represents the magnitude of bin *n*, and *f(n)* represents the center frequency of that bin.

The spectral rolloff⁴² is defined as the center frequency of a spectrogram bin such that at least
 0.85 of the energy of the spectrum in this frame is contained in this bin and the lower frequencies.

363 364 The temporal rolloff is defined as the center time of a time bin such that at least 0.85 of the temporal energy in this frame is contained in this bin and the in earlier times. This feature is a good approximation of the duration of the syllable.

6) The spectral bandwidth⁴¹ is defined as:

$$\left(\sum_k S(k)(f(k)-f_c)^p
ight)^{rac{1}{p}}$$

371 372 373

368

369 370

where S(k) is the spectral magnitude at frequency bin k, f(k) is the frequency at bin k, and fc is the spectral centroid. We used p=2, and thus this is equivalent to a weighted standard deviation.

374 375 376

 The Spectral flatness⁴³, also known as Wiener entropy, which quantifies how tone-like a sound is, as opposed to how noise-like.

377 378

To determine which acoustic features contribute most to the variance, we computed the Pearson correlation of each PC and the above acoustic features; that is, for 100 syllables, we varied the syllables by moving along each PC and computed the respective value of the acoustic feature. We then selected the features with the lowest Pearson P-values.

383 **Examining context using HMMs:** Using the trained CVAE, we encoded the syllables (without filtering weak 384 syllables) into sequences of N*512 (where N is the number of syllables in the acoustic sequence). Each 385 sequence of syllables was then translated into a sequence of PC-weights (where each syllable is encoded 386 by 40 PC weights). Here, we only used sequences annotated for three contexts – feeding aggression, general fighting and mating aggression, as provided in ref³⁸ comprising a total of 326 sequences. We 387 388 extracted all (n= 1-7) n-grams from the sentences using a sliding window (resulting in a total of 12,900 n-389 grams, but we also controlled for this step by running the entire procedure on the original data only). We 390 trained a 3-hidden state multivariate Gaussian HMM, using a supervised approach. That is, we trained the 391 HMM such that each hidden state is equivalent to one of the three annotated contexts (feeding, fighting 392 or mating). We evaluated the accuracy of this model on the test set and estimated the performance for 393 every n-gram separately. We performed an 8-fold cross-validation procedure, each time randomly 394 selecting 87.5% of the data for training.

To examine the **compositional syntax** hypothesis we ran the above-noted trained context-HMMs on each syllable in the sequences separately. We then examined (using a binomial test) whether the probability of a syllable being classified as belonging to a context of the respective sequence was higher than expected by chance (0.33). For example, we tested whether the syllables in mating sequences were also classified as mating syllables above chance.

400 **Statistics**: To test the effect of the number of syllables in a sequence on context recognition accuracy, we 401 used generalized linear models (GLMs) with the accuracy of classification set as the explained variable and 402 the number of syllables, the context and their interaction set as fixed factors. We used a logistic link 403 function because the explained variable is a proportion. This analysis was also used for the different 404 permutation controls.

405 Acknowledgement: We thank I. Arnon and Y. prat for reading on commenting on the manuscript. We

thanks M. Taub for her assistance with the graphics. This project was partially funded by the ERC project

407 BehaviorIsland

408 409	Author Contribution: Conceptualization, Y.Y and Y. A; Methodology, Y.A; Software, Y.A.; Writing – Original Draft, Y.Y. and Y.A.; Writing – Review & Editing, Y.Y. and Y.A.; Project Administration, Y.Y				
410					
411	Declaration of interests:				
412	The authors declare no competing interests.				
413					
414	Inclusion and diversity:				
415	We support inclusive, diverse and equitable conduct of research.				
416					
417					
418					
419					
420					
421	Bibliography				
422					
423 424 425	1.	Seyfarth, R.M., Cheney, D.L., Bergman, T., Fischer, J., Zuberbühler, K., and Hammerschmidt, K. (2010). The central importance of information in studies of animal communication. Animal Behaviour <i>80</i> , 3–8. 10.1016/j.anbehav.2010.04.012.			
426 427	2.	Suzuki, T.N. (2016). Semantic communication in birds: evidence from field research over the past two decades. Ecological Research <i>31</i> , 307–319. 10.1007/S11284-016-1339-X.			
428 429	3.	Bloomfield, T.C., Gentner, T.Q., and Margoliash, D. (2011). What birds have to say about language. Nature Neuroscience 14, 947–948. 10.1038/nn.2884.			
430 431	4.	Gentner, T.Q., Fenn, K.M., Margoliash, D., and Nusbaum, H.C. (2006). Recursive syntactic pattern learning by songbirds. Nature <i>440</i> , 1204. 10.1038/NATURE04675.			
432 433 434	5.	Suzuki, T.N., Wheatcroft, D., and Griesser, M. (2020). The syntax–semantics interface in animal vocal communication. Philosophical Transactions of the Royal Society B <i>375</i> . 10.1098/RSTB.2018.0405.			
435 436 437	6.	Griesser, M., Wheatcroft, D., and Suzuki, T.N. (2018). From bird calls to human language: exploring the evolutionary drivers of compositional syntax. Current Opinion in Behavioral Sciences <i>21</i> , 6–12. 10.1016/J.COBEHA.2017.11.002.			
438 439	7.	Zuberbühler, K. (2018). Combinatorial capacities in primates. Current Opinion in Behavioral Sciences <i>21</i> , 161–169. 10.1016/J.COBEHA.2018.03.015.			

- 440 8. Arnold, K., and Zuberbühler, K. (2006). Semantic combinations in primate calls. Nature 2006
 441:7091 441, 303–303. 10.1038/441303a.
- 442 9. Ten Cate, C., and B Slater, P.J. (1991). Song learning in zebra finches: how are elements from two
 443 tutors integrated? Anim. Behav 42, 150–152.
- Lachlan, R.F., Verhagen, L., Peters, S., and ten Cate, C. (2010). Are There Species-Universal
 Categories in Bird Song Phonology and Syntax? A Comparative Study of Chaffinches (Fringilla
 coelebs), Zebra Finches (Taenopygia guttata), and Swamp Sparrows (Melospiza georgiana).
 Journal of Comparative Psychology *124*, 92–108. 10.1037/A0016996.
- Berwick, R.C., Okanoya, K., Beckers, G.J.L., and Bolhuis, J.J. Songs to syntax: the linguistics of
 birdsong. 10.1016/j.tics.2011.01.002.
- Clarke, E., Reichard, U.H., and Zuberbühler, K. (2006). The Syntax and Meaning of Wild Gibbon
 Songs. PLOS ONE *1*, e73. 10.1371/JOURNAL.PONE.0000073.
- 452 13. Ouattara, K., Lemasson, A., and Zuberbühler, K. (2009). Campbell's Monkeys Use Affixation to
 453 Alter Call Meaning. PLOS ONE 4, e7808. 10.1371/JOURNAL.PONE.0007808.
- 454 14. Mercado, E., Herman, L.M., and Pack, A.A. (2004). Song copying by humpback whales: themes
 455 and variations. Animal Cognition 2004 8:2 *8*, 93–102. 10.1007/S10071-004-0238-7.
- 456 15. Kershenbaum, A., Ilany, A., Blaustein, L., and Geffen, E. (2012). Syntactic structure and
 457 geographical dialects in the songs of male rock hyraxes. Proceedings of the Royal Society B:
 458 Biological Sciences 279, 2974–2981. 10.1098/RSPB.2012.0322.
- 459 16. Jansen, D.A.W.A.M., Cant, M.A., and Manser, M.B. (2012). Segmental concatenation of individual
 460 signatures and context cues in banded mongoose (Mungos mungo) close calls. BMC biology *10*.
 461 10.1186/1741-7007-10-97.
- 462 17. Esser, K.-H., Condon, C.J., Suga, N., and Kanwal, J.S. (1997). Syntax processing by auditory cortical
 463 neurons in the FM–FM area of the mustached bat Pteronotus parnellii. Proceedings of the
 464 National Academy of Sciences *94*, 14019–14024. 10.1073/PNAS.94.25.14019.
- 465 18. Bohn, K., Montiel-Reyes, F., and Salazar, I. (2016). The Complex Songs of Two Molossid Species.
 466 In Sociality in Bats (Springer International Publishing), pp. 143–160. 10.1007/978-3-319-38953467 0_6.
- Kanwal, J.S., Matsumura, S., Ohlemiller, K., and Suga, N. (1994). Analysis of acoustic elements and
 syntax in communication sounds emitted by mustached bats. Journal of the Acoustical Society of
 America *96*, 1229–1254. 10.1121/1.410273.
- 471 20. Wilkinson, G.S. (2003). Social and vocal complexity in bats.
- Chaverri, G., Gillam, E.H., and Vonhof, M.J. (2010). Social calls used by a leaf-roosting bat to
 signal location. Biology letters *6*, 441–444. 10.1098/rsbl.2009.0964.
- Schoeman, M.C., and Goodman, S.M. (2012). Vocalizations in the Malagasy Cave-Dwelling Fruit
 Bat, Eidolon dupreanum : Possible Evidence of Incipient Echolocation? Acta Chiropterologica *14*,
 409–416. 10.3161/150811012X661729.
- Bohn, K.M., Smarsh, G.C., and Smotherman, M. (2013). Social context evokes rapid changes in
 bat song syntax. Animal Behaviour *85*, 1485–1491. 10.1016/J.ANBEHAV.2013.04.002.

- 479 24. Fernandez, A.A., Burchardt, L.S., Nagy, M., and Knörnschild, M. (2021). Babbling in a vocal
 480 learning bat resembles human infant babbling. Science *373*, 923–926.
 481 10.1126/SCIENCE.ABF9279.
- 482 25. Prat, Y., Taub, M., and Yovel, Y. (2016). Everyday bat vocalizations contain information about
 483 emitter, addressee, context, and behavior. Scientific Reports,.
- 484 26. Prat, Y. (2019). Animals Have No Language, and Humans Are Animals Too:
 485 https://doi.org/10.1177/1745691619858402 *14*, 885–893. 10.1177/1745691619858402.
- 486 27. Kershenbaum, A., Blumstein, D.T., Roch, M.A., Akçay, Ç., Backus, G., Bee, M.A., Bohn, K., Cao, Y.,
 487 Carter, G., Cäsar, C., et al. (2016). Acoustic sequences in non-human animals: a tutorial review
 488 and prospectus. Biological reviews of the Cambridge Philosophical Society *91*, 13.
 489 10.1111/BRV.12160.
- 28. Cate, C. ten, and Okanoya, K. (2012). Revisiting the syntactic abilities of non-human animals:
 natural vocalizations and artificial grammar learning. Philosophical Transactions of the Royal
 Society B: Biological Sciences *367*, 1984. 10.1098/RSTB.2012.0055.
- 493 29. Knörnschild, M., Eckenweber, M., Fernandez, A.A., and Nagy, M. (2016). Sexually Selected
 494 Vocalizations of Neotropical Bats. In Sociality in Bats (Springer International Publishing), pp. 179–
 495 195. 10.1007/978-3-319-38953-0_8.
- 496 30. Oord, A. van den, Li, Y., and Vinyals, O. (2018). Representation Learning with Contrastive
 497 Predictive Coding.
- Jin Park, T., Kanda, N., Dimitriadis, D., Han, K.J., Watanabe, S., and Narayanan, S. A Review of
 Speaker Diarization: Recent Advances with Deep Learning.
- 50032.Orangutans Modify Their Gestural Signaling According to Their Audience's Comprehension -
ScienceDirect https://www.sciencedirect.com/science/article/pii/S0960982207016405.
- 50233.Zuberbühler, K. (2020). Syntax and compositionality in animal communication. Philosophical503Transactions of the Royal Society B 375. 10.1098/RSTB.2019.0062.
- Jorgewich-Cohen, G., Townsend, S.W., Padovese, L.R., Klein, N., Praschag, P., Ferrara, C.R.,
 Ettmar, S., Menezes, S., Varani, A.P., Serano, J., et al. (2022). Common evolutionary origin of
 acoustic communication in choanate vertebrates. Nature Communications 2022 13:1 *13*, 1–7.
 10.1038/s41467-022-33741-8.
- 50835.Harten, L., Katz, A., Goldshtein, A., Handel, M., and Yovel, Y. (2020). The ontogeny of a509mammalian cognitive map in the real world. Science *369*, 194–197.
- 510 36. Toledo, S., Shohami, D., Schiffner, I., Lourie, E., Orchan, Y., Bartan, Y., and Nathan, R. (2020).
 511 Cognitive map-based navigation in wild bats revealed by a new high-throughput tracking system.
 512 Science *369*, 188–193. 10.1126/science.aax6904.
- 51337.Prat, Y., Taub, M., and Yovel, Y. (2016). Everyday bat vocalizations contain information about514emitter, addressee, context, and behavior. Scientific Reports 6, 39419.
- S8. Prat, Y., Taub, M., Pratt, E., and Yovel, Y. (2017). Data Descriptor: An annotated dataset of
 Egyptian fruit bat vocalizations across varying contexts and during vocal ontogeny. Scientific Data
 4. 10.1038/sdata.2017.143.

518 39. Chollet, F.& others (2015). Keras.

- Jiang, D.N., Lu, L., Zhang, H.J., Tao, J.H., and Cai, L.H. (2002). Music type classification by spectral
 contrast feature. Proceedings 2002 IEEE International Conference on Multimedia and Expo,
 ICME 2002 1, 113–116. 10.1109/ICME.2002.1035731.
- Klapuri, A., and Davy, M. (2006). Signal processing methods for music transcription. Signal
 Processing Methods for Music Transcription, 1–440. 10.1007/0-387-32845-9.
- 42. (17) A large set of audio features for sound description (similarity and classification) in the
 525 CUIDADO project | Request PDF
- https://www.researchgate.net/publication/200688649_A_large_set_of_audio_features_for_sou
 nd_description_similarity_and_classification_in_the_CUIDADO_project.
- 528 43. Dubnov, S. (2004). Generalization of spectral flatness measure for non-Gaussian linear processes.
 529 IEEE Signal Processing Letters *11*, 698–701. 10.1109/LSP.2004.831663.
- 530
- 531

ournal Prerk

CellPress

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER				
Original wav files	Previous study	Prat et al. 2017, Scientific data				
Chemicals, Peptides, and Recombinant Proteins						
Not relevant						
Deposited Data						
Acoustic syllable encodings	Self-recordings	https://data.mendele y.com/datasets/mjfv 43zgtv/3				
Experimental Models:						
Three female Egyptian fruit bats (<i>Rousettus aegyptiacus</i>)	Caught in a cave in central Israel	Taxonomy ID: 9407				
Acoustics Recordings						
Microphones + AD converters	Avisoft Bio-acoustics	CM16, SM1612				
Quantification and statistical Analysis						
Stats (GLMs) were run in Matlab 2019	The Mathworks	https://www.math works.com/downlo ads/;				
All samples were randomized to control for possible biases. Exclusion was based on signal quality. The exact criteria are explained in the methods						
Software and Algorithms						
Self-written code	Self-written code in Python	https://data.mendele y.com/datasets/mjfv 43zgtv/3				







Fruit bats emit sequences of vocalizations while interacting with conspecifics Artificial neural networks can be used to encode bat vocalizations Longer sequences of vocalizations convey more information about their context The order of the syllables in the sequence does not seem to affect information

ournal Pre-proof